

Records Management Policy

“What does the Lord ask of you? To act justly, to love
mercy and to walk humbly with your God.”

(Micah 6:8)



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Statement of intent

In our school, our Christian vision shapes all we do. All members of the school community are committed to upholding the St Michael's Church of England Christian values:


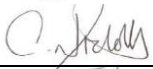
- to show love, care and kindness to all in our community
- to value what we have and to share with others
- to enable everyone to achieve their full potential

St Michael's Church of England High School is committed to maintaining the confidentiality of its information and ensuring that all records within the school are only accessible by the appropriate individuals. In line with the requirements of the Data Protection Act 1998, the school also has a responsibility to ensure that all records are only kept for as long as is necessary to fulfil the purpose(s) for which they were intended.

The school has created this policy to outline how records are stored, accessed, monitored, and disposed of, and how long data is retained for, in order to meet the school's statutory requirements.

This document complies with the requirements set out in the UK GDPR and Data Protection Act 2018.

Signed by:

 _____	Headteacher	Date: <u>28/01/2021</u>
 _____	Chair of governors	Date: <u>28/01/2021</u>

Date Agreed	23 rd March 2018
Date Updated	28 th January 2021
Review Date	January 2024

1. Legal framework

1.1. This policy has due regard to statutory legislation including, but not limited to, the following:

- UK General Data Protection Regulation (UK GDPR)
- Freedom of Information Act 2000
- Limitation Act 1980 (as amended)
- Data Protection Act 2018

1.2. This policy also has due regard to the following guidance:

- Information Records Management Society (IRMS) 'Information Management Toolkit for Schools' 2019

1.3. This policy will be implemented in accordance with the following school policies and procedures:

- GDPR Data Protection Policy
- Freedom of Information Policy
- Online Safety Policy
- Data and E-Security Breach Prevention & Management Plan
- ITC & Devices Policy

2. Responsibilities

2.1. The whole school has a responsibility for maintaining its records and record-keeping systems in line with statutory requirements.

2.2. The headteacher holds overall responsibility for this policy and for ensuring it is implemented correctly.

2.3. The school business manager is responsible for the management of records at the school.

2.4. The Assistant Headteacher, Facilities and Resources is responsible for promoting compliance with this policy, and reviewing the policy on a three yearly basis, in conjunction with the headteacher.

2.5. The Assistant Headteacher, Facilities and Resources is responsible for ensuring that all records are stored securely, in accordance with the retention periods outlined in this policy, and are disposed of correctly.

2.6. All staff members are responsible for ensuring that any records for which they are responsible for are accurate, maintained securely, and disposed of correctly, in line with the provisions of this policy.

3. Management of pupil records

3.1. Pupil records are specific documents that are used throughout a pupil's time in the education system – they are documents that are passed to each school that a pupil attends and include all personal information relating to them, as well as their progress.

3.2. The following information is stored on the front of a pupil record, and will be easily accessible:

- Forename, surname, and date of birth
- Unique pupil number

- Note of the date when the file was opened

3.3. The following information is stored inside the front cover of a pupil record, and will be easily accessible:

- any preferred names
- emergency contact details and the name of the pupil's doctor
- any allergies or other medical conditions that are important to be aware of
- names of people with parental responsibility, including home addresses and telephone numbers
- any other agency involvement, e.g. speech and language therapist
- reference to any other linked files

3.4. The following information is stored on a pupil record and will be easily accessible:

- admissions form
- details of any special educational needs and disabilities (SEND)
- If the pupil has attended an early years setting, the record of transfer
- data collection of data checking form
- annual written reports to parents
- national curriculum and agreed syllabus record sheets
- notes relating to major incidents and accidents involving the pupil
- any information about an EHC plan, and support offered in relation to the EHC plan
- medical information relevant to the pupil's on-going education and behaviour
- any notes indicating child protection disclosures and reports
- any information relating to exclusions
- any correspondence with parents or external agencies relating to major issues, e.g. mental health
- notes indicating that records of complaints made by parents or the pupil
- examination results – pupil copy
- SATs results

3.5. The following information is subject to shorter retention periods and, therefore, still be stored separately in a personal file for the pupil in the filing room, medical room or school office as appropriate:

- attendance registers and information
- absence notes and correspondence
- parental and, where appropriate, pupil consent forms for educational visits, photographs and videos, etc.
- accident forms – forms about major accidents will be recorded on the pupil record
- consent to administer medication and administration records
- copies of pupil birth certificates, passports etc.
- correspondence with parents about minor issues, e.g. behaviour
- pupil work
- previous data collection forms that have been superseded

3.6. Hard copies of disclosures and reports relating to child protection are stored in a sealed envelope, in a securely locked filing cabinet in the Inclusion Office – a note indicating this is marked on the pupil's file.

- 3.7. Hard copies of complaints made by parents or the pupil are stored in a file in the headteacher's office – a note indicating this is marked on the pupil's file.
- 3.8. Actual copies of accident and incident information are stored separately on the school's management information system and held in line with the statutory retention periods outlined in this policy – a note indicating this is marked on the pupil's file. An additional copy may be placed on the pupil's file in the event of a major accident or incident.
- 3.9. The school will ensure that no pupil records are altered or amended before transferring them to the next school that the pupil will attend.
- 3.10. The only exception to the above is any records placed on the pupil's file that have a shorter retention period and may need to be removed. In such cases, the Data Manager, who is responsible for disposing of records, will remove these records.
- 3.11. Electronic records relating to the pupil's record will also be transferred to the pupil's next school. Section 12 of this policy outlines how electronic records will be transferred.
- 3.12. If any pupil attends the school until statutory school leaving age, the school will keep the pupil's records until the pupil reaches the age of 25 years.
- 3.13. The school will, wherever possible, avoid sending a pupil record by post. Where a pupil record must be sent by post, it will be sent by registered post, with an accompanying list of the files included. The school it is sent to is required to sign a copy of the list to indicate that they have received the files, and return this to the school.

4. Retention of pupil records and other pupil-related information

- 4.1. The table below outlines the school's retention periods for individual pupil records and the action that will be taken after the retention period, in line with any requirements.
- 4.2. Electronic copies of any information and files will also be destroyed in line with the retention periods below.

Type of file	Retention period	Action taken after retention period ends
Images used for identification purposes	For the duration of the event/activity, or whilst the pupil remains at school, whichever is less, plus one month	Disposed of securely
Images used in displays	Whilst the pupil is at school	Disposed of securely
Images used for marketing purposes	In line with the consent period	Disposed of securely
Biometric data	For the duration of the event/activity, or whilst the pupil remains at school, whichever is less, plus one month	Disposed of securely
Postcodes, names and characteristics	Whilst the pupil is at school, plus five years	Disposed of securely

Type of file	Retention period	Action taken after retention period ends
House number and road	For the duration of the event/activity, plus one month	Disposed of securely
Admissions		
Register of admissions	Every entry in the admissions register will be preserved for a period of three years after the date on which the entry was made	Information is reviewed, and the register may be kept permanently
Admissions (where the admission is successful)	Date of admission, plus one year	Disposed of securely
Admissions appeals (where the appeal is unsuccessful)	Resolution of the case, plus one year	Disposed of securely
In-year secondary school admissions	Whilst the pupil remains at the school, plus one year	Disposed of securely
Proof of address (supplied as part of the admissions process)	Current academic year, plus one year	Disposed of securely
Supplementary information submitted, including religious, medical information, etc. (where the admission was successful)	Information added to the pupil's file	Disposed of securely
Supplementary information submitted, including religious, medical information, etc. (where the admission was not successful)	Retained until the appeals process is complete	Disposed of securely
All records relating to the creation and implementation of the Admissions Policy	Life of the policy, plus three years and then review	Disposed of securely
Pupils' educational records		
Pupils' educational records	25 years after the pupil's date of birth	Reviewed and disposed of securely if no longer needed

Type of file	Retention period	Action taken after retention period ends
Public examination results	Added to the pupil's record and transferred to next school	All uncollected certificates returned to the examination board
Internal examination results	Added to the pupil's record and transferred to next school	Transferred to the next school
Behaviour records	Added to the pupil's record and transferred to the next school Copies are held whilst the pupil is at school, plus one year	Disposed of securely
Exclusion records	Added to the pupil's record and transferred to the next school Copies are held whilst the pupil is at school, plus one year	Disposed of securely
Child protection information held on a pupil's record	Stored in a sealed envelope for the same length of time as the pupil's record Records also subject to any instruction given by the Independent Inquiry into Child Sex Abuse (IICSA)	Disposed of securely – shredded
Child protection records held in a separate file	25 years after the pupil's date of birth Records also subject to any instruction given by the IICSA	Disposed of securely – shredded
Curriculum returns	Current academic year, plus three years	Disposed of securely
Schemes of work	Current academic year, plus one year	Review at the end of each year and allocate a further retention period or securely dispose of
Timetable	Current academic year, plus one year	Review at the end of each year and allocate a further retention period or securely dispose of
Class record books	Current academic year, plus one year	Review at the end of each year and allocate a further retention period or securely dispose of
Mark books	Current academic year, plus one year	Review at the end of each year and allocate a further retention period or securely dispose of
Record of homework set	Current academic year, plus one year	Review at the end of each year and allocate a further

Type of file	Retention period	Action taken after retention period ends
		retention period or securely dispose of
Pupils' work	Current academic year, plus one year	Review at the end of each year and allocate a further retention period or securely dispose of
Education, training or employment destinations data	Whilst the pupil is at the school, plus three years or from the end of KS4, whichever is earliest	Disposed of securely
Attendance		
Attendance registers	Every entry is retained for a period of three years after the date on which the entry was made	Disposed of securely
Correspondence relating to any absence (authorised or unauthorised)	Current academic year, plus two years	Disposed of securely
Medical information and administration		
Permission slips	For the duration of the period that medication is given, plus one month	Disposed of securely
Medical conditions – ongoing management	Added to the pupil's record and transferred to the next school Copies held whilst the pupil is at school, plus one year	Disposed of securely
Medical incidents that have a behavioural or safeguarding influence	Added to the pupil's record and transferred to the next school Copies held whilst the pupil is at school, plus 25 years	Disposed of securely
SEND		
SEND files, reviews and EHC plans, including advice and information provided to parents regarding educational needs and accessibility strategy	The pupil's date of birth, plus 31 years	Disposed of securely
Curriculum management		
SATs results	25 years after the pupil's date of birth (as stated on the pupil's record)	Disposed of securely

Type of file	Retention period	Action taken after retention period ends
Examination papers	Until the appeals/validation process has been completed	Disposed of securely
Published Admission Number (PAN) Reports	Current academic year, plus six years	Disposed of securely
Valued added and contextual data	Current academic year, plus six years	Disposed of securely
Self-evaluation forms (internal moderation)	Current academic year, plus one year	Disposed of securely
Self-evaluation forms (external moderation)	Retained until superseded	Disposed of securely
Pupils' work	Returned to pupils at the end of the academic year, or retained for the current academic year, plus one year	Disposed of securely
Extra-curricular activities		
Field file – information taken on school trips	Until the conclusion of the trip, plus one month Where a minor incident occurs, field files are added to the core system as appropriate	Disposed of securely
Financial information relating to school trips	Whilst the pupil remains at school, plus one year	Disposed of securely
Parental consent forms for school trips where no major incident occurred	Until the conclusion of the trip	Up to 22 years after the pupil's date of birth
Parental consent forms for school trips where a major incident occurred	25 years after the pupil's date of birth, on the pupil's record (permission slips of all pupils on the trip will also be held to show that the rules had been followed for all pupils)	Disposed of securely
Educational visitors in school – sharing of personal information	Until the conclusion of the visit, plus one month	Disposed of securely

Type of file	Retention period	Action taken after retention period ends
Family liaison officers and home-school liaison assistants		
Day books	Current academic year, plus two years	Reviewed, and destroyed if no longer required
Reports for outside agencies	Duration of the pupil's time at school	Disposed of securely
Referral forms	Whilst the referral is current	Disposed of securely
Contact data sheets	Current academic year	Reviewed, and destroyed if no longer active
Contact database entries	Current academic year	Reviewed, and destroyed if no longer required
Group registers	Current academic year, plus two years	Disposed of securely
Catering and free school meal management		
Meal administration	Whilst the pupil is at school, plus one year	Disposed of securely
Meal eligibility	Whilst the pupil is at school, plus five years	Disposed of securely

5. Retention of staff records

- 5.1. The table below outlines the school's retention periods for staff records and the action that will be taken after the retention period, in line with any requirements.
- 5.2. Electronic copies of any information and files will also be destroyed in line with the retention periods below.

Type of file	Retention period	Action taken after retention period ends
Operational		
Staff members' personal file	Termination of employment, plus six years, unless the member of staff is part of any case which falls under the terms of reference of the IICSA. If this is the case, the file will be retained until the IICSA enquiries are complete	Disposed of securely
Annual appraisal and assessment records	Current academic year, plus six years	Disposed of securely
Sickness absence monitoring (where sickness pay is not paid)	Current academic year, plus three years	Disposed of securely

Type of file	Retention period	Action taken after retention period ends
Sickness absence monitoring (where sickness pay is paid)	Current academic year, plus six years	Disposed of securely
Staff training (where training leads to CPD)	Length of time required by the CPD professional body	Disposed of securely
Staff training (except where the training relates to dealing with pupils, e.g. first aid or health and safety)	Retained in the personnel file	Disposed of securely
Staff training (where the training relates to pupils, e.g. safeguarding or other pupil-related training)	Date of the training, plus 40 years	Disposed of securely
Recruitment		
Records relating to the appointment of a new headteacher (successful appointments)	Added to personnel file and retained until the end of appointment, plus six years, except in cases of negligence or claims of child abuse, then records are retained for at least 15 years	Disposed of securely
Records relating to the appointment of a new headteacher (unsuccessful attempts)	Date of appointment, plus six months	Disposed of securely
Records relating to the appointment of new members of staff or governors (unsuccessful candidates)	Date of appointment of successful candidate, plus six months	Disposed of securely
Pre-employment vetting information (successful candidates)	For the duration of the employee's employment, plus six years	Disposed of securely
DBS certificates	Up to six months	Disposed of securely
Proof of identify as part of the enhanced DBS check	If it is necessary to keep a copy, it will be placed in the staff member's personnel file	Disposed of securely
Evidence of right to work in the UK	Added to staff personnel file or, if kept separately, termination of employment, plus no longer than two years	Disposed of securely

Type of file	Retention period	Action taken after retention period ends
Disciplinary and grievance procedures		
Child protection allegations, including where the allegation is unproven	<p>Added to staff personal file, and until the individual's normal retirement age, or 10 years from the date of the allegation – whichever is longer</p> <p>If allegations are malicious, they are removed from personal files</p> <p>If allegations are found, they are kept on the personnel file and a copy is provided to the person concerned unless the member of staff is part of any case, which falls under the terms of reference of the IICSA. If this is the case, the file is retained until IICSA enquiries are complete</p>	Reviewed and disposed of securely – shredded
Oral warnings	Date of warning, plus six months	Disposed of securely – if placed on staff personal file, removed from file
Written warning – level 1	Date of warning, plus six months	Disposed of securely – if placed on staff personal file, removed from file
Written warning – level 2	Date of warning, plus 12 months	Disposed of securely – if placed on staff personal file, removed from file
Final warning	Date of warning, plus 18 months	Disposed of securely – if placed on staff personal file, removed from file
Records relating to unproven incidents	Conclusion of the case, unless the incident is child protection related and is disposed of as above	Disposed of securely

6. Retention of senior leadership and management records

- 6.1. The table below outlines the school's retention periods for senior leadership and management records, and the action that will be taken after the retention period, in line with any requirements.
- 6.2. Electronic copies of any information and files will also be destroyed in line with the retention periods below.

Type of file	Retention period	Action taken after retention period ends
Governing board		
Agendas for governing board meetings	One copy alongside the original set of minutes – all others disposed of without retention	Local archives consulted before secure disposal
Original, signed copies of the minutes of governing board meetings	Permanent – all other copies disposed of without retention	Shredded if they contain any sensitive or personal information but the local archives will be consulted first
Reports presented to the governing board that are referred to in the minutes	Permanent – all others disposed of without retention	Local archives consulted and then disposed of securely
Meeting papers relating to the annual parents' meeting	Date of meeting, plus a minimum of six years	Disposed of securely
Instruments of government, including articles of association	Permanent	Local archives consulted and then disposed of securely
Trusts and endowments managed by the governing board	Permanent	Local archives consulted and then disposed of securely
Action plans created and administered by the governing board	Until superseded or whilst relevant	Disposed of securely
Policy documents created and administered by the governing board	Until superseded or whilst relevant	Disposed of securely
Records relating to complaints dealt with by the governing board or headteacher	Current academic year, plus six years If negligence is involved, records are retained for the current academic year, plus 15 years If child protection or safeguarding issues are involved, the records are retained for the current academic year, plus 40 years	Reviewed for further retention in case of contentious disputes, then disposed of securely

Type of file	Retention period	Action taken after retention period ends
Annual reports required by the DfE	Date of report, plus 10 years	Disposed of securely
Proposals concerning changing the status of the school	Date proposal accepted or declined, plus three years	Disposed of securely
Records relating to the appointment of co-opted governors	Date of election, plus six months	Disposed of securely
Records relating to the election of the chair of the governing board and the vice chair	Destroyed after the decision has been recorded in the minutes	Disposed of securely
Scheme of delegation and terms of reference for committees	Until superseded or whilst relevant	Reviewed and offered to the local archives if appropriate
Meeting schedule	Current academic year	Standard disposal
Register of attendance at full governing board meetings	Date of last meeting in the book, plus six years	Disposed of securely
Records relating to governor monitoring visits	Date of the visit, plus three years	Disposed of securely
Correspondence sent and received by the governing board or headteacher	Current academic year, plus three years	Disposed of securely
Records relating to the appointment of the clerk to the governing board	Date on which the clerk's appointment ends, plus six years	Disposed of securely
Records relating to the terms of office of serving governors, including evidence of appointment	Date on which the governor's appointment ends, plus six years	Disposed of securely
Records relating to governor declaration against disqualification criteria	Date on which the governor's appointment ends, plus six years	Disposed of securely
Register of business interests	Date the governor's appointment ends, plus six years	Disposed of securely
Governor code of conduct	Dynamic document – kept permanently	Disposed of securely
Records relating to the training required and received by governors	Date the governor steps down, plus six years	Disposed of securely
Records relating to the induction programme for new governors	Date on which the governor's appointment ends, plus six years	Disposed of securely

Type of file	Retention period	Action taken after retention period ends
Records relating to DBS checks carried out on the clerk and members of the governing board	Date of the DBS check, plus six months	Disposed of securely
Governor personnel files	Date on which the governor's appointment ends, plus six years	Disposed of securely
Headteacher and senior leadership team (SLT)		
Log books of activity in the school maintained by the headteacher	Date of last entry, plus a minimum of six years	Reviewed, and offered to the County Archives Service if appropriate
Minutes of SLT meetings and the meetings of other internal administrative bodies	Date of the meeting, plus three years	Reviewed annually, and disposed of securely if not needed
Reports created by the headteacher or SLT	Date of the report, plus a minimum of three years	Reviewed annually, and disposed of securely if not needed
Records created by the headteacher, deputy headteacher, heads of year and other members of staff with administrative responsibilities	Current academic year, plus six years	Reviewed annually, and disposed of securely if not needed
Correspondence created by the headteacher, deputy headteacher, heads of year and other members of staff with administrative responsibilities	Date of correspondence, plus three years	Disposed of securely
Professional development plan	Held on the individual's personnel record. If not, then it is retained for the duration of the plan, plus six years	Disposed of securely
School development plan	Duration of the plan, plus three years	Disposed of securely

7. Retention of health and safety records

- 7.1. The table below outlines the school's retention periods for health and safety records, and the action that will be taken after the retention period, in line with any requirements.
- 7.2. Electronic copies of any information and files will also be destroyed in line with the retention periods below.

Type of file	Retention period	Action taken after retention period ends
Health and safety		
Health and safety policy statements	Duration of policy, plus three years	Disposed of securely
Health and safety risk assessments	Duration of risk assessment, plus three years provided that a copy of the risk assessment is stored with the accident report if an incident has occurred	Disposed of securely
Records relating to any reportable death, injury, disease or dangerous occurrence under RIDDOR	Date of incident, plus 12 years provided that all records relating to the incident are held on the personnel file	Disposed of securely
Accident reporting – adults	Three years after the last entry in the accident reporting book	Disposed of securely
Accident reporting – pupils	Three years after the last entry in the accident reporting book	Disposed of securely
Records kept under the Control of Substances Hazardous to Health Regulations	Current academic year, plus 40 years	Disposed of securely
Information relating to areas where employees and persons are likely to come into contact with asbestos	Date of last action, plus 40 years	Disposed of securely
Information relating to areas where employees and persons are likely to come into contact with radiation (maintenance records or controls, safety features and PPE)	Two years from the date on which the examination was made	Disposed of securely
Information relating to areas where employees and persons are likely to come into contact with radiation (dose assessment and recording)	Until the person to whom the record relates would have reached 75-years-old, but in any event for at least 30 years from when the record was made	Disposed of securely
Fire precautions log books	Current academic year, plus three years	Disposed of securely

Type of file	Retention period	Action taken after retention period ends
Health and safety file to show current state of buildings, including all alterations (wiring, plumbing, building works etc.) to be passed on in the case of change of ownership	Permanent	Passed to new owner on sale or transfer of building

8. Retention of financial records

- 8.1. The table below outlines the school's retention periods for financial records and the action that will be taken after the retention period, in line with any requirements.
- 8.2. Electronic copies of any information and files will also be destroyed in line with the retention periods below.

Type of file	Retention period	Action taken after retention period ends
Payroll pensions		
Maternity pay records	Current academic year, plus three years	Disposed of securely
Records held under Retirement Benefits Schemes (Information Powers) Regulations 1995 (as amended)	Current academic year, plus six years	Disposed of securely
Timesheets, clock cards and flexitime records (moved from staff records)	Current academic year, plus three years	Disposed of securely
Absence record	Current academic year, plus three years	Disposed of securely
Batches	Current academic year, plus six years	Disposed of securely
Bonus sheets	Current academic year, plus three years	Disposed of securely
Car allowance claims	Current academic year, plus three years	Disposed of securely
Car loans	Current academic year, plus three years	Disposed of securely
Car mileage outputs	Current academic year, plus six years	Disposed of securely

Type of file	Retention period	Action taken after retention period ends
Elements	Current academic year, plus two years	Disposed of securely
Income tax form P60	Current academic year, plus six years	Disposed of securely
Insurance	Current academic year, plus six years	Disposed of securely
Members allowance register	Current academic year, plus six years	Disposed of securely
National insurance – schedule of payments	Current academic year, plus six years	Disposed of securely
Overtime	Current academic year, plus three years	Disposed of securely
Part-time fee claims	Current academic year, plus six years	Disposed of securely
Pay packet receipt by employee	Current academic year, plus two years	Disposed of securely
Payroll awards	Current academic year, plus six years	Disposed of securely
Payroll (gross/net weekly or monthly)	Current academic year, plus six years	Disposed of securely
Payroll reports	Current academic year, plus six years	Disposed of securely
Payslips (copies)	Current academic year, plus six years	Disposed of securely
Pension payroll	Current academic year, plus six years	Disposed of securely
Personal bank details	Until superseded, plus three years	Disposed of securely
Sickness records	Current academic year, plus three years	Disposed of securely
Staff returns	Current academic year, plus three years	Disposed of securely
Superannuation adjustments	Current academic year, plus six years	Disposed of securely

Type of file	Retention period	Action taken after retention period ends
Superannuation reports	Current academic year, plus six years	Disposed of securely
Tax forms	Current academic year, plus six years	Disposed of securely
Risk management and insurance		
Employer's liability insurance certificate	Closure of the school, plus 40 years	Disposed of securely Passed to the LA if the school closes
Asset management		
Inventories of furniture and equipment	Current academic year, plus six years	Disposed of securely
Burglary, theft and vandalism report forms	Current academic year, plus six years	Disposed of securely
Accounts and statements including budget management		
Annual accounts	Current academic year, plus six years	Disposed of against common standards
Loans and grants managed by the school	Date of last payment, plus 12 years	Information is reviewed, then disposed of securely
All records relating to the creation and management of budgets	Duration of the budget, plus three years	Disposed of securely
Invoices, receipts, order books and requisitions, delivery notices	Current financial year, plus six years	Disposed of securely
Records relating to the collection and banking of monies	Current financial year, plus six years	Disposed of securely
Records relating to the identification and collection of debt	Final payment, plus six years	Disposed of securely
Contract management		
All records relating to the management of contracts under seal	Last payment on the contract, plus 12 years	Disposed of securely
All records relating to the management of contracts under signature	Last payment on the contract, plus six years	Disposed of securely

Type of file	Retention period	Action taken after retention period ends
All records relating to the monitoring of contracts	Life of the contract, plus six or 12 years	Disposed of securely
School fund		
Cheque books, paying in books, ledgers, invoices, receipts, bank statements and journey books	Current academic year, plus six years	Disposed of securely
School meals		
Free school meals registers	Current academic year, plus six years	Disposed of securely
School meals registers	Current academic year, plus three years	Disposed of securely
School meals summary sheets	Current academic year, plus three years	Disposed of securely
Pupil finance		
Student grant applications	Current academic year, plus three years	Disposed of securely
Pupil premium fund records	Date the pupil leaves the school, plus six years	Disposed of securely

9. Retention of other school records

- 9.1. The table below outlines the school's retention periods for any other records held by the school, and the action that will be taken after the retention period, in line with any requirements.
- 9.2. Electronic copies of any information and files will also be destroyed in line with the retention periods below.

Type of file	Retention period	Action taken after retention period ends
Property management		
Title deeds of properties belonging to the school	Permanent	Transferred to new owners if the building is leased or sold
Plans of property belonging to the school	For as long as the building belongs to the school	Transferred to new owners if the building is leased or sold
Leases of property leased by or to the school	Expiry of lease, plus six years	Disposed of securely
Records relating to the letting of school premises	Current financial year, plus six years	Disposed of securely
Maintenance		
All records relating to the maintenance of the school carried out by contractors	For as long as the school owns the building and then passed onto any new owners if the building is leased or sold	Disposed of securely
All records relating to the maintenance of the school carried out by school employees	For as long as the school owns the building and then passed onto any new owners if the building is leased or sold	Disposed of securely
Operational administration		
General file series	Current academic year, plus five years	Reviewed, and disposed of securely
Records relating to the creation and publication of the school brochure and/or prospectus	Current academic year, plus three years	If a copy is not preserved by the school, standard disposal
Records relating to the creation and distribution of circulars to staff, parents or pupils	Current academic year, plus one year	Disposed of against common standards
Newsletters and other items with short operational use	Current academic year plus one year	One copy archived, other copies standard disposal
Visitors' books and signing-in sheets	Last entry in the logbook, plus six years	Reviewed, then disposed of securely

Records relating to the creation and management of parent teacher associations and/or old pupil associations	Current academic year, plus six years	Reviewed, then disposed of securely
Walking bus registers	Date of register, plus six years	Disposed of securely
School privacy notice which is sent to parents	Until superseded, plus six years	Standard disposal
Consents relating to school activities	While pupil attends the school	Secure disposal

10. Retention of emails

- 10.1. Group email addresses will have an assigned member of staff who takes responsibility for managing the account and ensuring the correct disposal of all sent and received emails.
- 10.2. All staff members with an email account will be responsible for managing their inbox.
- 10.3. Emails can act as evidence of the school's activities, i.e. in business and fulfilling statutory duties, so all relevant emails (e.g. invoices) will be retained for at least 12 months.
- 10.4. Invoices received and sent in emails will be printed off and retained in accordance with section 8 of this policy.
- 10.5. The school's expectations of staff members in relation to their overall conduct when sending and receiving emails is addressed in the school's E-safety Policy.
- 10.6. All emails will be automatically deleted after 12 months, unless stated otherwise.
- 10.7. Correspondence created by the SLT and other members of staff with administrative responsibilities will be retained for three years before being reviewed and, if necessary, securely disposed of.
- 10.8. Personal emails, i.e. emails that do not relate to work matters or are from family members, will be deleted as soon as they are no longer needed.
- 10.9. Staff members will review and delete any emails they no longer require at the end of every term.
- 10.10. Staff members will not, under any circumstances, create their own email archives, e.g. saving emails on to personal hard drives.
- 10.11. Staff members will be aware that the emails they send could be required to fulfil a SAR or freedom of information (FOI) request. Emails will be drafted carefully, and staff members will review the content before sending.

- 10.12. Individuals, including children, have the right to submit an SAR to gain access to their personal data to verify the lawfulness of the processing – this includes accessing emails.
- 10.13. All SARs will be handled in accordance with the school’s Data Protection Policy.
- 10.14. FOI requests will be handled in accordance with the school’s Freedom of Information Policy.
- 10.15. When handling a request for information, the DPO will speak to the requestor to clarify the scope of the request and whether emails will be required to fulfil the SAR or FOI request.
- 10.16. Where an SAR has been made electronically, the information will be provided in a commonly used electronic format.
- 10.17. All requests will be responded to without delay and at the latest, within one month of receipt.
- 10.18. If a request is manifestly unfounded, excessive or repetitive, a fee will be charged. All fees will be based on the administrative cost of providing the information.
- 10.19. Where a request is manifestly unfounded or excessive, the school holds the right to refuse to respond to the request. The individual will be informed of this decision and the reasoning behind it, as well as their right to complain to the supervisory authority and to a judicial remedy, within one month of the refusal.
- 10.20. Staff members will discuss any queries regarding email retention with the DPO.

11. Identifying information

- 11.1. Under the UK GDPR, all individuals have the right to data minimisation and data protection by design and default – as the data controller, the school ensures appropriate measures are in place for individuals to exercise this right.
- 11.2. Wherever possible, the school uses pseudonymisation, also known as the ‘blurring technique’, to reduce the risk of identification.
- 11.3. Once an individual has left the school, if identifiers such as names and dates of birth are no longer required, these are removed or less specific personal data is used, e.g. the month of birth rather than specific date – the data is blurred slightly.
- 11.4. Where data is required to be retained over time, e.g. attendance data, the school removes any personal data not required and keeps only the data needed – in this example, the statistics of attendance rather than personal information.

12. Storing and protecting information

- 12.1. The Assistant Headteacher, Facilities and Resources will undertake a risk analysis to identify which records are vital to school management, and these records will be stored in the most secure manner.
- 12.2. The Managed Service Provider will conduct a backup of information on a termly basis to ensure that all data can still be accessed in the event of a security breach, e.g. a virus, and prevent any loss or theft of data.

- 12.3. Where possible, backed-up information will be stored off the school premises, using a central back-up service, operated by the LA. The data controller will ensure that the location of the cloud storage and the security offered is appropriate for the information and records stored on it.
- 12.4. Confidential paper records are kept in a locked filing cabinet, drawer or safe, with restricted access.
- 12.5. Confidential paper records are not left unattended or in clear view when held in a location with general access.
- 12.6. Any room or area where personal or sensitive data is stored will be locked when unattended.
- 12.7. Digital data is coded, encrypted or password-protected, both on a local hard drive and on a network drive that is regularly backed up off-site.
- 12.8. Where data is saved on removable storage or a portable device, the device is kept in a locked and fireproof filing cabinet, drawer or safe when not in use.
- 12.9. Memory sticks are not used to hold personal information unless they are password-protected and fully encrypted.
- 12.10. All electronic devices are password-protected to protect the information on the device in case of theft.
- 12.11. Where possible, the school enables electronic devices to allow the remote blocking or deletion of data in case of theft.
- 12.12. Staff and governors do not use their personal laptops or computers for school purposes.
- 12.13. All members of staff are provided with their own secure login and password, and every computer regularly prompts users to change their password.
- 12.14. Emails containing sensitive or confidential information are password-protected or sent via a secure encrypted or data transfer system to ensure that only the recipient is able to access the information. The password will be shared with the recipient in a separate email.
- 12.15. Personal information is never put in the subject line of an email.
- 12.16. Circular emails to parents are sent blind carbon copy (bcc), so email addresses are not disclosed to other recipients.
- 12.17. When sending confidential information by fax, staff always check that the recipient is correct before sending.
- 12.18. Where personal information that could be considered private or confidential is taken off the premises, either in an electronic or paper format, staff take extra care to follow the same procedures for security, e.g. keeping devices under lock and key. The person taking the information from the school premises accepts full responsibility for the security of the data.
- 12.19. If documents that have been taken off the school premises will be left unattended, the staff member will leave the documents in the locked boot of a car or keep them on their person.

- 12.20. A record will be kept of any document that is taken off the school premises that logs the location of the document and when it is returned to the school site, this includes records that are digitally remotely accessed.
- 12.21. Before sharing data, all staff always ensure that:
- they are allowed to share it
 - adequate security is in place to protect it
 - who will receive the data has been outlined in a privacy notice
- 12.22. The school has data sharing agreements with all data processors and third parties with whom data is shared. These agreements are developed by the DPO and cover information about issues such as access controls and permissions.
- 12.23. A record is kept of what level of access each staff member has to data. This record details information including:
- what level of access each staff member has
 - limits on how staff members access data
 - what actions staff members can perform
 - what level of access is changed or retained when a staff member changes role within the school
 - who is able to authorise requests to change permissions and access
- 12.24. All staff members will implement a 'clear desk policy' to avoid unauthorised access to physical records containing sensitive or personal information. All confidential information will be stored in a securely locked filing cabinet, drawer or safe with restricted access.
- 12.25. Under no circumstances are visitors allowed access to confidential or personal information. Visitors to areas of the school containing sensitive information are supervised at all times.
- 12.26. Staff are required to use their school login details to use photocopiers and printers.
- 12.27. The physical security of the school's buildings and storage systems, and access to them, is reviewed termly by Interserve. If an increased risk in vandalism, burglary or theft is identified, this will be reported to the headteacher and extra measures to secure data storage will be put in place.
- 12.28. All systems that allow staff and pupils to remotely access information from the school's network whilst they are not physically at the school have strong security controls in place which are reviewed termly by the data controller.
- 12.29. The data controller decides what restrictions are necessary to prevent information or records being downloaded, transferred or printed while the user is not on the school site.
- 12.30. The school takes its duties under the UK GDPR seriously and any unauthorised disclosure may result in disciplinary action.
- 12.31. The Assistant Headteacher, Facilities and Resources is responsible for continuity, and recovery measures are in place to ensure the security of protected data.

12.32. Any damage to or theft of data will be managed in accordance with the school's Security Breach Management Plan.

13. Accessing information

13.1. We are transparent with data subjects, the information we hold and how it can be accessed.

13.2. All members of staff, parents of registered pupils and other users of the school, e.g. visitors and third-party clubs, are entitled to:

- know what information the school holds and processes about them or their child, and why
- understand how to gain access to it
- understand how to keep it up-to-date
- understand what the school is doing to comply with its obligations under the UK GDPR

13.3. All members of staff, parents of registered pupils and other users have the right, under the UK GDPR, to access certain personal data being held about them or their child.

13.4. Personal information can be shared with pupils once they are considered to be at an appropriate age and responsible for their own affairs, although this information can still be shared with parents.

13.5. Pupils who are considered to be at an appropriate age to make decisions for themselves are entitled to have their personal information handled in accordance with their rights.

13.6. The school will adhere to the provisions outlined in the school's GDPR Data Protection Policy when responding to requests seeking access to personal information.

14. Digital continuity statement

14.1. Digital data that is retained for longer than six years will be named as part of a digital continuity statement.

14.2. The data will be archived to dedicated files on the school's server, which are password-protected – this will be backed-up in accordance with section 12 of this policy.

14.3. Memory sticks will never be used to store digital data subject to a digital continuity statement.

14.4. The Managed Service Provider will review the storage methods annually and, where appropriate, added them to the digital continuity statement.

14.5. The following information will be included within the digital continuity statement:

- a statement of purpose and requirements for keeping the records
- the names of the individuals responsible for long term data preservation
- a description of the information assets to be covered by the digital preservation statement
- a description of when the record needs to be captured into the approved file formats
- a description of the appropriate supported file formats for long term preservation
- a description of the retention of all software specification information and licence information
- a description of how access to the information asset is to be managed in accordance with the DPA 1998

15. Information audit

15.1. The school conducts information audits on an annual basis against all information held by the school to evaluate the information the school is holding, receiving and using, and to ensure that this is correctly managed in accordance with the UK GDPR. This includes the following information:

- paper documents and records
- electronic documents and records
- databases
- microfilm or microfiche
- sound recordings
- video and photographic records
- hybrid files, containing both paper and electronic information
- knowledge
- apps and portals

15.2. The information audit may be completed in a number of way, including, but not limited to:

- interviews with staff members with key responsibilities – to identify information and information flows, etc.
- questionnaires to key staff members to identify information and information flows, etc.
- a mixture of the above

15.3. The Assistant Headteacher, Facilities and Resources is responsible for completing the information audit. The information audit will include:

- the school's needs
- the information needed to meet those needs
- the format in which it is stored
- how long it needs to be kept for
- vital records status and any protective marking
- who is responsible for maintaining the original document

15.4. The Assistant Headteacher, Facilities and Resources will consult with staff members involved in the information audit process to ensure that the information is accurate.

15.5. Once it has been confirmed that the information is accurate, name of individual will record all details on the school's Information Asset Register.

15.6. An information asset owner is assigned to each asset or group of assets. They will be responsible for managing the asset appropriately, ensuring it meets the school's requirements, and for monitoring risks and opportunities.

15.7. The information displayed on the Information Asset Register will be shared with the headteacher to gain their approval.

16. Disposal of data

16.1. Where disposal of information is outlined as standard disposal, this will be recycled appropriate to the form of the information, e.g. paper recycling, electronic recycling.

- 16.2. Where disposal of information is outlined as secure disposal, this will be shredded or pulped and electronic information will be scrubbed clean and, where possible, cut. The Assistant Headteacher, Facilities and Resources will keep a record of all files that have been destroyed.
- 16.3. Where the disposal action is indicated as reviewed before it is disposed, the Assistant Headteacher, Facilities and Resources will review the information against its administrative value – if the information should be kept for administrative value, the Assistant Headteacher, Facilities and Resources will keep a record of this.
- 16.4. If, after the review, it is determined that the data should be disposed of, it will be destroyed in accordance with the disposal action outlined in this policy.
- 16.5. Where information has been kept for administrative purposes, the Assistant Headteacher, Facilities and Resources will review the information again after three years, and conduct the same process. If it should be destroyed, it will be destroyed in accordance with the disposal action outlined in this policy. If any information is kept, the information will be reviewed every subsequent three years.
- 16.6. Where information must be kept permanently, this information is exempt from the normal review procedures.

17. School closures and record keeping

Academy conversion

- 17.1. If the school closes and subsequently becomes an academy, all records relating to pupils who are transferring to the academy will be transferred.
- 17.2. If the school will retain the existing building when it converts to an academy, all records relating to the management of the buildings will be transferred.
- 17.3. All other records created and managed when the school was part of the LA will become the responsibility of the LA.

Sale or re-use of the site

- 17.4. If the school site is being sold or re-allocated to another use, the LA will take responsibility for the records from the date the school closes.

Merger of schools

- 17.5. If the school merges with another school to create one school, the new school will be responsible for retaining all current records originating from the former schools.
- 17.6. The data controller will determine the outcome of each group of records; these outcomes are as follows:
- securely destroy all records that are expired and due for disposal, in accordance with the retention periods outlined in this policy
 - transfer to the successor school or academy all records that are current and that will be required by the new school or academy
 - transfer to the LA all records that are dormant but still need to be retained to comply with legal and business retention requirements
 - transfer to the local record office any records with historical value

Managing records

- 17.7. The data controller will identify which records need to be destroyed or transferred to the relevant body – they will allocate personnel as necessary to sort through records.
- 17.8. The data controller will notify the other organisations as soon as possible so that necessary disposal, storage and transfer arrangements can be made. The school’s IT provider will also be notified so that arrangements can be made to ensure the safe transfer or deletion of electronic records, including all back-up copies.
- 17.9. When sorting records, the data controller and their team will:
- review all records held within the school as soon as notification of closure is received, including paper and electronic records
 - use the retention periods outlined in this policy to categorise the records into those to be destroyed and those that need to be transferred
 - contact the relevant body to make arrangements for the safe and secure transfer of records
 - sort, list and box the records in preparation for the transfer, ensuring records are stored in a safe environment whilst awaiting collection
 - plan how the disposal of records will be undertaken
 - sort expired records in readiness for confidential disposal, ensuring they are stored securely whilst awaiting disposal
- 17.10. All forms of storage will be completely emptied before the building is vacated or before disposal.
- 17.11. Records awaiting transfer will be held in a secure area.
- 17.12. The identity of any third parties collecting or disposing of records will be checked and a collection receipt will be obtained.
- 17.13. Records will be disposed of in line with section 16 of this policy.
- 17.14. Electronic records will be either transferred to the new body or deleted.
- 17.15. All IT equipment will be decommissioned in accordance with the school’s ITC and Devices Policy.
- 17.16. No records will be left behind once the school building is vacated.

18. Monitoring and review

- 18.1. This policy will be reviewed on a two yearly basis by the Assistant Headteacher, Facilities and Resources in conjunction with the headteacher – the next scheduled review date for this policy is January 2024.
- 18.2. Any changes made to this policy will be communicated to all members of staff.